

## □ Mirae Asset Securities' Human Rights Statement

Since joining the UN Global Compact (UNGC) in June 2006, Mirae Asset Securities has fulfilled its social responsibilities by adhering to the UNGC's 10 principles in the areas of human rights, labor, the environment, and anti-corruption. Accordingly, Mirae Asset Securities established human rights principles consistent with global standards<sup>1</sup> and applies them across its operations to protect the human rights of all stakeholders, including employees, clients, shareholders, investors, transaction counterparties, partners, local communities, governments, & capital markets. Moreover, Mirae Asset Securities periodically reviews its compliance with human rights principles and has an internal process to ensure that any violations of human rights or discrimination issues that may arise are handled in accordance with established procedures.

## Clients

- Putting client success at the center of how it conducts business, Mirae Asset Securities continuously develops high-quality financial products and services that meet the demands and expectations of clients.
- Mirae Asset Securities does not discriminate against clients on the basis of gender, religion, race, or political leaning and does not engage in immoral and unethical behaviors that might infringe on the rights of clients that it serves.
- Mirae Asset Securities has administrative, technical, and physical measures in place to protect clients from the loss, theft, breach, alteration, or damage of personal information.

## Employees

- Mirae Asset Securities respects the free will and creativity of employees and does not discriminate against them on the basis of regional origin, family relations, academic background, gender, religion, age, disability, or marital status.
- Mirae Asset Securities provides equal opportunities to employees according to their abilities and qualifications and implements fair performance evaluations and reasonable compensation policies.
- Mirae Asset Securities creates a safe and pleasant workplace environment for employees and strives to provide a high-quality welfare system for work-life balance.
- Mirae Asset Securities guarantees the freedom of association and the right to collective bargaining and does not treat employees unfavorably on the basis of union status, union activities, or the creation of a union.

## Shareholders &amp; investors

- Mirae Asset Securities implements reasonable decision-making processes and management activities based on a responsible, transparent, and ethical management system and does its best to maximize shareholder and investor value.
- Mirae Asset Securities treats all shareholders and investors equitably and fairly to prevent undue violations of their interests and rights.
- Mirae Asset Securities maintains mutual trust with shareholders and investors by disclosing management information transparently and accurately.

<p>Transaction counterparties &amp; partners</p>	<ul style="list-style-type: none"> <li>▪ Mirae Asset Securities does not abuse its dominant position to make undue demands for the purpose of selling financial products and services, does not grant unfair favors to particular companies, and enters into and enforces contracts with integrity.</li> <li>▪ In its dealings with transaction counterparties and partners, Mirae Asset Securities conducts business fairly and in a spirit of mutual respect and win-win cooperation, thus helping to uphold the order of the market economy.</li> <li>▪ Mirae Asset Securities strictly protects and controls information obtained during the course of business with transaction counterparties and partners.</li> </ul>
<p>Local communities</p>	<ul style="list-style-type: none"> <li>▪ Based on respect for the culture and values of local communities, Mirae Asset Securities fulfills its social roles and responsibilities by undertaking CSR activities such as financial literacy programs for the socially marginalized, donations, and volunteer activities.</li> <li>▪ Mirae Asset Securities takes environmental protection into account as a key factor when establishing policies and procedures and contributes to environmental preservation by strictly complying with environmental regulations in Korea and abroad.</li> <li>▪ As part of its efforts to build a healthy society, Mirae Asset Securities strictly monitors and prohibits any exposure to situations that may lead to social problems such as child labor, forced labor, and human trafficking.</li> </ul>
<p>Governments &amp; capital markets</p>	<ul style="list-style-type: none"> <li>▪ Mirae Asset Securities fulfills its basic responsibilities as a member of society, contributing to the development of the nation and capital markets through responsible management, job creation, and the faithful fulfilment of tax obligations.</li> <li>▪ Mirae Asset Securities contributes to the formation of a fair market economy by guarding against the use of undisclosed internal information for market manipulation, illegal money laundering, and bribery.</li> <li>▪ Mirae Asset Securities does not undermine its political neutrality by voicing support or campaigning for a particular political party or candidate.</li> </ul>

1) Universal Declaration of Human Rights, UN Guiding Principles on Business and Human Rights, International Bill of Rights